

**SEC Rule 606 Report - MR Beal & Company - 3rd Qtr, 2010  
(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)**

Securities Listed on New York Stock Exchange

Route Venues	Publicly Posted Percentages				Private Supporting Data				
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)
<b>Total (%)</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>100</b>	<b>4541</b>	<b>0</b>	<b>0</b>	<b>4541</b>	<b>0</b>
Archipelago LLC	36.14	0.00	0.00	36.14	1641	0	0	1641	0
Credit Suisse	32.90	0.00	0.00	32.90	1494	0	0	1494	0
ITG Inc.	16.34	0.00	0.00	16.34	742	0	0	742	0
New York Stock Exch	10.94	0.00	0.00	10.94	497	0	0	497	0

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages				Private Supporting Data				
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)
<b>Total (%)</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>100</b>	<b>2069</b>	<b>0</b>	<b>0</b>	<b>2069</b>	<b>0</b>
Archipelago LLC	45.09	0.00	0.00	45.09	933	0	0	933	0
Credit Suisse	37.36	0.00	0.00	37.36	773	0	0	773	0
ITG Inc.	13.44	0.00	0.00	13.44	278	0	0	278	0

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Publicly Posted Percentages				Private Supporting Data				
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)
<b>Total (%)</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>100</b>	<b>49</b>	<b>0</b>	<b>0</b>	<b>49</b>	<b>0</b>
Credit Suisse	71.43	0.00	0.00	71.43	35	0	0	35	0
Archipelago LLC	22.45	0.00	0.00	22.45	11	0	0	11	0
Knight Direct EMS	6.12	0.00	0.00	6.12	3	0	0	3	0

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

No Covered Orders

Material Aspects of Relationship with Route Venues

How to read this report

\*Unknown is part of Other.

**SEC Rule 606 Report - MR Beal & Company - 2nd Qtr, 2010**  
**(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)**

Securities Listed on New York Stock Exchange

Route Venues	Publicly Posted Percentages				Private Supporting Data				
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)
<b>Total (%)</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>100</b>	<b>5662</b>	<b>0</b>	<b>0</b>	<b>5662</b>	<b>0</b>
Credit Suisse	38.94	0.00	0.00	38.94	2205	0	0	2205	0
New York Stock Exch	28.13	0.00	0.00	28.13	1593	0	0	1593	0
Archipelago LLC	25.38	0.00	0.00	25.38	1437	0	0	1437	0
ITG Inc.	6.52	0.00	0.00	6.52	369	0	0	369	0

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages				Private Supporting Data				
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)
<b>Total (%)</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>100</b>	<b>3016</b>	<b>0</b>	<b>0</b>	<b>3016</b>	<b>0</b>
Credit Suisse	45.52	0.00	0.00	45.52	1373	0	0	1373	0
Archipelago LLC	40.95	0.00	0.00	40.95	1235	0	0	1235	0
ITG Inc.	6.47	0.00	0.00	6.47	195	0	0	195	0
Barclays Capital Inc	6.33	0.00	0.00	6.33	191	0	0	191	0

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Publicly Posted Percentages				Private Supporting Data				
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)
<b>Total (%)</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>100</b>	<b>110</b>	<b>0</b>	<b>0</b>	<b>110</b>	<b>0</b>
Archipelago LLC	58.18	0.00	0.00	58.18	64	0	0	64	0
Credit Suisse	35.45	0.00	0.00	35.45	39	0	0	39	0

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

No Covered Orders

Material Aspects of Relationship with Route Venues

How to read this report

\*Unknown is part of Other.

**SEC Rule 606 Report - MR Beal & Company - 1st Qtr, 2010  
(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)**

Securities Listed on New York Stock Exchange

Route Venues	Publicly Posted Percentages				Private Supporting Data				
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)
<b>Total (%)</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>100</b>	<b>4580</b>	<b>0</b>	<b>0</b>	<b>4580</b>	<b>0</b>
Credit Suisse	39.34	0.00	0.00	39.34	1802	0	0	1802	0
Archipelago LLC	28.45	0.00	0.00	28.45	1303	0	0	1303	0
New York Stock Exch	25.48	0.00	0.00	25.48	1167	0	0	1167	0
ITG Inc.	5.41	0.00	0.00	5.41	248	0	0	248	0

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages				Private Supporting Data				
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)
<b>Total (%)</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>100</b>	<b>2427</b>	<b>0</b>	<b>0</b>	<b>2427</b>	<b>0</b>
Credit Suisse	44.75	0.00	0.00	44.75	1086	0	0	1086	0
Archipelago LLC	44.66	0.00	0.00	44.66	1084	0	0	1084	0
ITG Inc.	5.93	0.00	0.00	5.93	144	0	0	144	0

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Publicly Posted Percentages				Private Supporting Data				
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)
<b>Total (%)</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>100</b>	<b>91</b>	<b>0</b>	<b>0</b>	<b>91</b>	<b>0</b>
Credit Suisse	58.24	0.00	0.00	58.24	53	0	0	53	0
Archipelago LLC	41.76	0.00	0.00	41.76	38	0	0	38	0

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

No Covered Orders

Material Aspects of Relationship with Route Venues

How to read this report

\*Unknown is part of Other.

© Copyright 2010 Thomson Reuters.  
All Rights Reserved.