

Approval: **SUCCESS** Posting: **SUCCESS** Approve Report Print Report

SEC Rule 606 Report - MR Beal & Company - 1st Qtr, 2011
 (Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Publicly Posted Percentages					Private Supporting Data							
Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total (%)	100	0	0	100	9,451	0	0	9,451	0	0.00	0.00	100.00
Credit Suisse	59.89	0.00	0.00	59.89	5,660	0	0	5,660	0	0.00	0.00	100.00
Knight Direct EMS	18.07	0.00	0.00	18.07	1,708	0	0	1,708	0	0.00	0.00	100.00
Archipelago LLC	12.53	0.00	0.00	12.53	1,184	0	0	1,184	0	0.00	0.00	100.00

100.00% of total customer orders were non directed orders.

Securities Listed on The Nasdaq Stock Market

Publicly Posted Percentages					Private Supporting Data							
Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total (%)	100	0	0	100	5,188	0	0	5,188	0	0.00	0.00	100.00
Credit Suisse	55.74	0.00	0.00	55.74	2,892	0	0	2,892	0	0.00	0.00	100.00
Knight Direct EMS	18.20	0.00	0.00	18.20	944	0	0	944	0	0.00	0.00	100.00
Archipelago LLC	16.36	0.00	0.00	16.36	849	0	0	849	0	0.00	0.00	100.00
ITG Inc.	5.84	0.00	0.00	5.84	303	0	0	303	0	0.00	0.00	100.00

100.00% of total customer orders were non directed orders.

Securities Listed on NYSE Amex or Regional Exchanges

Publicly Posted Percentages					Private Supporting Data							
Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total (%)	100	0	0	100	384	0	0	384	0	0.00	0.00	100.00
Knight Direct EMS	42.45	0.00	0.00	42.45	163	0	0	163	0	0.00	0.00	100.00
Credit Suisse	36.46	0.00	0.00	36.46	140	0	0	140	0	0.00	0.00	100.00
Archipelago LLC	14.84	0.00	0.00	14.84	57	0	0	57	0	0.00	0.00	100.00

100.00% of total customer orders were non directed orders.

Exchange-Listed Options

No Covered Orders

Material Aspects of Relationship with Route Venues

How to read this report

*Unknown is part of Other.

© Copyright 2011 Thomson Reuters.
 All Rights Reserved.