

SEC Rule 606 Report - MR Beal & Company - 4th Qtr, 2011

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total (%)	100	0	0	100	7,372	0	0	7,372	0	0.00	0.00	100.00
Credit Suisse	45.09	0.00	0.00	45.09	3,324	0	0	3,324	0	0.00	0.00	100.00
Knight Direct EMS	35.30	0.00	0.00	35.30	2,602	0	0	2,602	0	0.00	0.00	100.00
Archipelago LLC	7.43	0.00	0.00	7.43	548	0	0	548	0	0.00	0.00	100.00
ITG Inc.	4.72	0.00	0.00	4.72	348	0	0	348	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total (%)	100	0	0	100	3,494	0	0	3,494	0	0.00	0.00	100.00
Credit Suisse	39.90	0.00	0.00	39.90	1,394	0	0	1,394	0	0.00	0.00	100.00
Knight Direct EMS	35.43	0.00	0.00	35.43	1,238	0	0	1,238	0	0.00	0.00	100.00
Archipelago LLC	13.34	0.00	0.00	13.34	466	0	0	466	0	0.00	0.00	100.00
ITG Inc.	3.89	0.00	0.00	3.89	136	0	0	136	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE Amex or Regional Exchanges

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total (%)	100	0	0	100	49	0	0	49	0	0.00	0.00	100.00
Knight Direct EMS	46.94	0.00	0.00	46.94	23	0	0	23	0	0.00	0.00	100.00
Credit Suisse	14.29	0.00	0.00	14.29	7	0	0	7	0	0.00	0.00	100.00
Liquidnet, Inc	12.24	0.00	0.00	12.24	6	0	0	6	0	0.00	0.00	100.00
ITG Inc.	10.20	0.00	0.00	10.20	5	0	0	5	0	0.00	0.00	100.00
Archipelago LLC	6.12	0.00	0.00	6.12	3	0	0	3	0	0.00	0.00	100.00
Nasdaq Execution Services	6.12	0.00	0.00	6.12	3	0	0	3	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

No Covered Orders

Material Aspects of Relationship with Route Venues

How to read this report

*Unknown is part of Other.

© Copyright 2012 Thomson Reuters.
All Rights Reserved.